

PTO/SB/21 (08-03)

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Application Number	10/629,247
Filing Date	07/29/2003
First Named Inventor	R.E. Bare
Art Unit	Unknown
Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number P2003J066

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael J. Mlotkowski Roberts, Mlotkowski & Hobbes
Signature	
Date	December 17, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The PTO did not receive the following listed Item(s) The fee transmittal form.



Attorney Docket No. P2003J066

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
R. E. Bare et al.) Examiner: Unknown
Serial No.: 10/629,247) Group Art Unit: Unknown
Filed: July 29, 2003)
For: PERIODIC AERATION IN AN)
ACTIVATED SLUDGE REACTOR FOR)
WASTEWATER TREATMENT)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. § 1.56 and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants hereby submit the following documents for the Examiner's consideration:

U.S. Patent No. 4,458,066

U.S. Patent No. 4,655,925 which is discussed in the application beginning at page 4, [0010]

U.S. Patent No. 4,663,044 which is discussed in the application beginning at page 4, [0010]

U.S. Patent No. 4,917,805 which is discussed in the application beginning at page 4, [0010]

U.S. Patent No. 5,226,200 which is discussed in the application beginning at page 4, [0010]

U.S. Patent No. 5,503,748

U.S. Patent No. 5,512,140

U.S. Patent No. 5,540,839

U.S. Patent No. 5,582,734

U.S. Patent No. 5,611,927

U.S. Patent No. 5,624,562

U.S. Patent No. 5,624,563

U.S. Patent No. 5,624,565

U.S. Patent No. 5,651,891

U.S. Patent No. 5,776,344 which is discussed in the application beginning at page 4, [0009]

U.S. Patent No. 5,863,435 which is discussed in the application beginning at page 2, [0006]

U.S. Patent No. 5,888,394

U.S. Patent No. 5,908,554

U.S. Patent No. 5,989,428

U.S. Patent No. 6,113,787 which is discussed in the application beginning at page 3, [0007]

U.S. Patent No. 6,210,578

U.S. Patent No. 6,290,849

U.S. Patent No. 6,319,407

U.S. Patent No. 6,332,978

U.S. Patent No. 6,383,389

U.S. Patent No. 6,426,004 which is discussed in the application beginning at page 3, [0008]

A copy of the above noted documents is enclosed, as is a completed copy of the PTO-1449 Form listing the documents. Accordingly, the Examiner is respectfully requested to consider the documents and to indicate such consideration by returning a signed and initialed copy of the PTO-1449 Form with the first Official communication.

If there should be any questions, the Examiner is invited to contact the undersigned at the telephone number listed below.

Respectfully submitted,



Michael J. Mlotkowski
Reg. No. 33,020
Attorney for the Applicants
703-584-3275

December 17, 2003

Michael J. Mlotkowski
Roberts, Mlotkowski & Hobbes, PC
8270 Greensboro Drive
Suite 850
McLean, VA 22102

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO		<i>Compl t if Known</i>
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number 10/629,247
(use as many sheets as necessary)		Filing Date 07/29/2003
		First Named Inventor R. E. Bare et al.
		Group Art Unit Unknown
		Examiner Name Unknown
Sheet	1	Attorney Docket Number P2003J066
of 3		

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
A	4,458,066			Caruthers et al.	07/03/1984	
B	4,655,925			Tabata et al.	04/07/1987	
C	4,663,044			Goronszy	05/05/1987	
D	4,917,805			Reid	04/17/1990	
E	5,226,200			Reid	11/30/1993	
F	5,503,748			Merchuk et al.	04/02/1996	
G	5,512,140			Rutan	04/30/1996	
H	5,540,839			Pirt	07/30/1996	
I	5,582,734			Coleman et al.	12/10/1996	
J	5,611,927			Schmid	03/18/1997	
K	5,624,562			Scroggins	04/29/1997	
L	5,624,563			Hawkins	04/29/1997	
M	5,624,565			Lefevre et al.	04/29/1997	
N	5,651,891			Molof et al.	07/29/1997	
O	5,776,344			McCarty et al.	07/07/1998	
P	5,863,435			Heijnen et al.	01/26/1999	
Q	5,888,394			Jan	03/30/1999	
R	5,908,554			Tholander et al.	06/01/1999	
S	5,989,428			Goronszy	11/23/1999	
T	6,113,787			Czermak et al.	09/05/2000	

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<i>C mpt if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/629,247
				Filing Date	07/29/2003
				First Named Inventor	R. E. Bare et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
				Attorney Docket Number	P2003J066
Sheet	2	of	3		

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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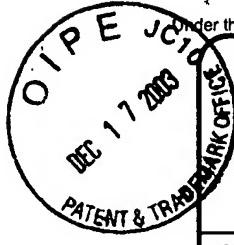
¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

of | 3

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Application Number	10/629,247
Filing Date	07/29/2003
First Named Inventor	R. E. Bare et al.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	P2003J066

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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